

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
1000-1372

In Re Application Of: **Luis M. Ortiz, Kermit D. Lopez, Davin E. Lopez**

Serial No.  
Not Yet Assigned

Filing Date  
Not Yet Assigned

Examiner  
Not Yet Assigned

Group Art Unit  
Not Yet Assigned

Title: **PROCESSING NEGOTIABLE ECONOMIC CREDITS THROUGH ELECTRONIC HAND HELD DEVICES**

Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

FORM PTO-1449     U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> (Use several sheets if necessary)	ATTY. DOCKET NO.: 1000-1372	SERIAL NO.: <b>NOT YET ASSIGNED</b>
APPLICANTS: <b>Luis Melisandro Ortiz Kermit Dean Lopez Davin Edward Lopez</b>		
FILING DATE: <b>NOT YET ASSIGNED</b>		GROUP ART UNIT: <b>NOT YET ASSIGNED</b>

U. S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE <small>(if appropriate)</small>
	6,105,002	8/15/2000	Powell	705	14	9/27/1997
	6,101,562	8/8/2000	Chang et al.	710	73	12/11/1997
	6,088,564	7/11/2000	Kato et al.	709	227	10/12/1998
	6,078,730	6/20/2000	Heinonen et al.	455	406	5/8/1998
	6,076,069	6/13/2000	Laor	705	14	9/25/1998
	6,076,068	6/13/2000	Delapa et al.	705	14	9/12/1996
	6,067,526	5/23/2000	Powell	705	14	2/5/1999
	6,057,872	5/2/2000	Candelore	348	3	7/9/1997
	6,041,309	3/21/2000	Laor	705	15	12/23/1998
	6,035,280	3/7/2000	Christensen	705	14	4/10/1996
	6,012,244	1/11/2000	Begum et al.	340	539	11/21/1995
	6,014,634	1/11/2000	Scroggie et al.	705	14	8/4/1997
	6,012,038	1/4/2000	Powell	705	14	1/22/1998
	5,970,469	10/19/1999	Scroggie et al.	705	14	3/26/1996
	5,956,694	9/21/1999	Powell	705	14	2/11/1997
	5,943,624	8/24/1999	Fox et al.	455	456	7/15/1996
	5,933,812	8/3/1999	Meyer et al.	705	15	4/12/1995
	5,870,030	2/9/1999	Deluca et al.	340	825.44	4/4/1996
	5,859,779	1/12/1999	Giordano et al.	364	479.01	10/2/1996
	5,855,007	12/29/1998	Jovicic et al.	705	14	11/15/1995
	5,845,259	12/1/1998	West et al.	705	14	6/27/1996
	5,822,735	10/13/1998	Delapa et al.	705	14	10/3/1994
	5,806,045	9/8/1998	Biorge et al.	705	14	7/8/1996
	5,806,044	9/8/1998	Powell	705	14	2/20/1996
	5,761,648	6/2/1998	Golden et al.	705	14	7/25/1995
	5,727,153	3/10/1998	Powell	395	214	6/6/1995
	5,703,564	12/30/1997	Begum et al.	340	539	11/21/1995
	5,420,606	5/30/1995	Begum et al.	345	156	9/20/1993
	5,956,694	1/10/1995	Valencia et al.	235	383	11/16/1993
	4,689,478	8/25/1987	Hale et al.	235	380	12/24/1984

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES    NO

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

Sepenzis et al.; "UNSTRUNG: The Birth of the Wireless Internet"; CIBC World Markets, Equity Research; October 4, 2000

Reuters; "Motorola Unveils Next-Generation Phones"; September 27, 2000

De Benden, Paul; "Handspring's U.S. Users to Get Phone on Palmtop"; Reuters; September 25, 2000

Press Release; "Oneclip.com Spurs 400% Sales Increases at More.com"; September 11, 2000;  
[http://www.oneclip.com/5\\_002\\_9\\_11.htm](http://www.oneclip.com/5_002_9_11.htm)

Cosonato et al.; "Gartner's 2000 Glossary of Mobile and Wireless Terms"; Strategic Analysis Report; Gartner Group, Inc.; September 7, 2000

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Press Release; "Oneclip.com Partners with MyWebGrocer.com"; August 8, 2000; [http://www.oneclip.com/5webgrocer8\\_8.htm](http://www.oneclip.com/5webgrocer8_8.htm)

Smith, Brad; "Wingin' It: Venturing into Unknown Territory, WASPs are Defining their Market on the Fly"; Wireless Week; July 17, 2000

Mendez-Wilson, Deborah; "Advanced Messaging Carriers: Finding Alternate Routes"; Wireless Week; July 17, 2000

Dziatkiewicz, Mark; "Competing for Access"; Wireless Week; July 17, 2000

Galatowitsch, Sheila; "The Coveted Return on Investment"; Wireless Week; July 17, 2000

Edwards, John; "The M-Tailing Mirage"; Wireless Week; July 17, 2000

Coyle, Dave; "Opinion: Web On Wireless is Different"; Wireless Week; July 17, 2000

Smith, Brad; "What's Next for WAP?"; Wireless Week; May 15, 2000

Edwards, John; "Hush Money"; Wireless Week; May 15, 2000

Singh, Guy; "Securing the Mobile E-conomy"; Wireless Week; May 15, 2000

Regan, Keith; "Visa Unveils Wireless Bill Payment Partnership"; E-Commerce Times; May 4, 2000

Smith, Brad; "A Mobile Internet Business Model: Dizzying Heights and Hurdles"; Wireless Week; January 31, 2000

Quigley, Paul; "Getting into the I-Mode: DoCoMo's Plan for Content"; Wireless Week; January 31, 2000

Smith, Brad; "Catching Up With The Speed of Light"; Wireless Week; January 31, 2000

Gurr, Stephen; "The Smart Card Way to Cut Back"; Online Athens: News; Athens Daily News; July 25, 1999

Press Release; "News America Marketing Takes Consumer Marketing to Next Generation through Strategic Partnerships with Integrated Marketing & E-Commerce Firms"; July 20, 1999; [http://www.newscorp.com/public/news/news\\_080.htm](http://www.newscorp.com/public/news/news_080.htm)

Zimmerman, T.G.; "Wireless Networked Digital Devices: A New Paradigm for Computing and Communication"; IBM Systems Journal, Vol. 38, No. 4; 1999

	Daswani et al.; "SWAPER00: A Simple Wallet Architecture for Payments, Exchanges, Refunds and Other Operations"; Proceedings of the 3 <sup>rd</sup> USENIX Workshop on Electronic Commerce, Boston, MA; August 31-September 3, 1998  "DECT: The Standard Explained"; DECT Forum; February, 1997	
EXAMINER	DATE CONSIDERED	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		